

SECTOR

PATENT

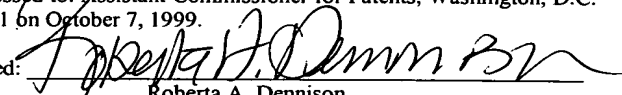
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
Roy A. Underwood ) Examiner: Not Assigned  
Application No. 09/364,091 ) Art Unit: 2785  
Filed: July 30, 1999 ) Atty. Docket No. AND1P296/  
 ) AC990255  
 )  
For: A SYSTEM, METHOD AND ARTICLE OF ) Date: October 7, 1999  
MANUFACTURE FOR AN E-COMMERCE )  
BASED USER FRAMEWORK DESIGN )  
FOR MAINTAINING USER PREFERENCES )  
ROLES AND SECURITY )



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 7, 1999.

Signed:   
Roberta A. Dennison

RESPONSE TO NOTICE TO FILE MISSING PARTS


Assistant Commissioner for Patents  
**Attention: Box Missing Parts**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted dated August 25, 1999, Applicants hereby attach an original executed Declaration and Power of Attorney, an Assignment document, an Assignment Recordation Cover Sheet, and the copy of the Notice to be returned with this response.

The Commissioner is authorized to charge any fees that may be due to our Deposit Account No. 50-0797 (Order No. AND1P296/AC990255). A duplicate of this sheet is enclosed for this purpose.

Respectfully submitted,  
HICKMAN STEPHENS & COLEMAN, LLP

  
L. Keith Stephens  
Reg. No. 32,632

P.O. Box 52037  
Palo Alto, CA 94303-0746  
(408) 558-9950



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/364,091	07/30/99	UNDERWOOD	R AND1P296

HICKMAN STEPHENS & COLEMAN LLP  
PO BOX 52037  
PALO ALTO CA 94303-0746

0232/0825



NOT ASSIGNED

2785

DATE MAILED:

08/25/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890.

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:  
\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

rect the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Theresa*  
Customer Service Center  
Patent Examination Division (703) 308-1202

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130.00 CH

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